

# Loop decomposition of manifolds

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# A Natural Question

- $M$  = closed smooth manifold of finite dimension
- Homotopy group  $\pi_n(M) = [S^n, M]$

## A Natural Question

How to compute  $\pi_*(M)$ ?

- It is an “impossible task” to get a complete answer!
- e.g.  $\pi_n(S^m)$ ?
- We know a lot about spheres, etc

# Homotopy groups of spheres

	$\pi_1$	$\pi_2$	$\pi_3$	$\pi_4$	$\pi_5$	$\pi_6$	$\pi_7$	$\pi_8$	$\pi_9$	$\pi_{10}$	$\pi_{11}$	$\pi_{12}$	$\pi_{13}$	$\pi_{14}$	$\pi_{15}$
$S^0$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$S^1$	Z	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$S^2$	0	Z	Z	$Z_2$	$Z_2$	$Z_{12}$	$Z_2$	$Z_2$	$Z_3$	$Z_{15}$	$Z_2$	$Z_2^2$	$Z_{12} \times Z_2$	$Z_{84} \times Z_2^2$	$Z_2^2$
$S^3$	0	0	Z	$Z_2$	$Z_2$	$Z_{12}$	$Z_2$	$Z_2$	$Z_3$	$Z_{15}$	$Z_2$	$Z_2^2$	$Z_{12} \times Z_2$	$Z_{84} \times Z_2^2$	$Z_2^2$
$S^4$	0	0	0	Z	$Z_2$	$Z_2$	$Z \times Z_{12}$	$Z_2^2$	$Z_2^2$	$Z_{24} \times Z_3$	$Z_{15}$	$Z_2$	$Z_2^3$	$Z_{120} \times Z_{12} \times Z_2$	$Z_{84} \times Z_2^5$
$S^5$	0	0	0	0	Z	$Z_2$	$Z_2$	$Z_{24}$	$Z_2$	$Z_2$	$Z_2$	$Z_{30}$	$Z_2$	$Z_2^3$	$Z_{72} \times Z_2$
$S^6$	0	0	0	0	0	Z	$Z_2$	$Z_2$	$Z_{24}$	0	Z	$Z_2$	$Z_{60}$	$Z_{24} \times Z_2$	$Z_2^3$
$S^7$	0	0	0	0	0	0	Z	$Z_2$	$Z_2$	$Z_{24}$	0	0	$Z_2$	$Z_{120}$	$Z_2^3$
$S^8$	0	0	0	0	0	0	0	Z	$Z_2$	$Z_2$	$Z_{24}$	0	0	$Z_2$	$Z \times Z_{120}$

# Alternative Questions

We can ask some more “accessible” questions

## Reasonable Questions

- To describe  $\pi_*(M)$  in terms of the homotopy groups of “smaller/simpler” spaces
  - To study theoretical information of  $\pi_*(M)$
- 
- In several cases, the above questions can be approached
  - One method: loop homotopy decomposition

# A Motivational Question

One of the two big, driving conjectures in unstable homotopy theory:

Moore conjecture; '70s

For a simply connected finite complex  $Z$ , the following are equivalent

- at any prime  $p$

$$p^N \cdot (p \text{ torsions of } \pi_*(Z)) = 0$$

for sufficiently large  $N$ ;

- $\pi_*(Z) \otimes \mathbb{Q}$  is finite dimensional.

The conjecture is open

# A Looped Question

- loop space  $\Omega M := \text{Map}_*(S^1, M)$
- loop homotopy decomposition  
 $\Omega M \simeq Y \times Z$

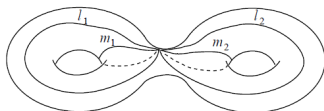


Figure: Loops on a surface  
T. Lawson, Topology: a geometric approach

## A Looped Question

To prove loop homotopy decomposition of  $M$  into more “familiar” pieces

- “smaller/simpler/familiar” spaces: CW complexes with a few number of cells, etc
- e.g.  $S^m$ ,  $P^m(p^r)$  Moore space,  $S^n\{p^r\}$  the homotopy fiber of  $p^r : S^n \rightarrow S^n$ , etc

# Classical examples: Hopf fibration

In 1931, Hopf discovered Hopf fibration  $S^1 \rightarrow S^3 \rightarrow S^2$ , which can be viewed as the beginning of homotopy theory.

- $S^1 \rightarrow S^{2n+1} \rightarrow \mathbb{C}P^n$
- $S^3 \rightarrow S^{4n+3} \rightarrow \mathbb{H}P^n$
- $S^7 \rightarrow S^{15} \rightarrow S^8$

which imply

- $\Omega\mathbb{C}P^n \simeq S^1 \times \Omega S^{2n+1}$
- $\Omega\mathbb{H}P^n \simeq S^3 \times \Omega S^{4n+3}$
- $\Omega S^8 \simeq S^7 \times \Omega S^{15}$

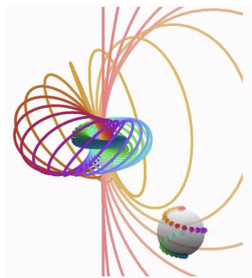


Figure: A family of fibres  
<https://www.youtube.com/watch?v=Rj6p3NDDtmE>

# Classical examples: Lie groups

In 1953, Serre showed homotopy decompositions of Lie groups rationally and localized at large primes.

- $p > 2n - 1$ ,  $SO(2n + 1) \simeq_p S^3 \times \cdots \times S^{4n-1}$ ,
- $p > 2n - 3$ ,  $SO(2n) \simeq_p S^3 \times \cdots \times S^{4n-5} \times S^{2n-1}$
- $p > n - 1$ ,  $SU(n) \simeq_p S^3 \times \cdots \times S^{2n-1}$
- $p > 2n - 1$ ,  $Sp(n) \simeq_p S^3 \times \cdots \times S^{4n-1}$
- $p > 5$ ,  $G_2 \simeq_p S^3 \times S^{11}$
- $p > 11$ ,  $F_4 \simeq_p S^3 \times S^{11} \times S^{15} \times S^{23}$
- $p > 11$ ,  $E_6 \simeq_p S^3 \times S^9 \times S^{11} \times S^{15} \times S^{17} \times S^{23}$
- $p > 17$ ,  $E_7 \simeq_p S^3 \times S^{11} \times S^{15} \times S^{19} \times S^{23} \times S^{27} \times S^{35}$
- $p > 29$ ,  $E_8 \simeq_p S^3 \times S^{15} \times S^{23} \times S^{27} \times S^{35} \times S^{39} \times S^{47} \times S^{59}$

Recall  $\Omega BG \simeq G$ .



# The first modern study

- $M = (n - 1)$ -connected  $2n$ -manifold,  $n \geq 2$
- $H^n(M) \cong \mathbb{Z}^{\oplus k}$ ,  $k \geq 2$

## Theorem (Beben-Theriault; '14)

When  $n \neq 2, 4, 8$ ,

$$\Omega M \simeq \Omega(S^n \times S^n) \times \Omega(J_n \vee (J_n \wedge \Omega(S^n \times S^n)))$$

When  $n = 2$ ,

$$\Omega M \simeq S^1 \times \Omega(S^2 \times S^3) \times \Omega(J_2 \vee (J_2 \wedge \Omega(S^2 \times S^3)))$$

where  $J_n = \bigvee_{k=2} S^n$ ,  $J_2 = \bigvee_{k=2} (S^2 \vee S^3)$ .

- $\Omega M$  is homotopy equivalent to a product of loops on simply-connected spheres (with  $S^1$  when  $n = 2$ ).

# Concrete studies

Studies on concrete cases of  $k$ -connected  $m$ -manifolds:

- $k = n - 1, m = 2n$ : Beben-Theriault ('14);
- $k = n - 1, m = 2n + 1$ : Beben-Wu ('15), Huang-Theriault ('21);
- $k = n - 2, m = 2n (n > 3)$ : Chenery ('22);
- $k = 1, m = 5$ : Beben-Theriault ('18), Theriault ('20);
- $k = 1, m = 6$ : Huang ('21).

The loop decompositions of these concrete manifolds support Moore conjecture.

# One more example

- $N = 1$ -connected 4-manifold
- $H^2(N) \cong \mathbb{Z}^{\oplus d}$ ,  $d \geq 2$
- $S^n \rightarrow M \rightarrow N$ ,  $n \geq 2$

## Theorem (Huang; 2022)

If the sphere bundle is induced from a vector bundle, then

$$\Omega M \simeq S^1 \times \Omega S^n \times \Omega(S^2 \times S^3) \times \Omega(J \vee (J \wedge \Omega(S^2 \times S^3)))$$

where  $J = \bigvee_{d-2} (S^2 \vee S^3)$ .

- $\Omega M$  is homotopy equivalent to a product of loops on simply-connected spheres with  $S^1$

# Theoretical studies

From '21 to present, Huang-Theriault have made new progresses on homotopy of manifolds from more theoretical point of view, including

(Unstable/Integral/Local homotopy)

- manifolds with a prescribed embedding
- blow ups
- stabilized manifolds

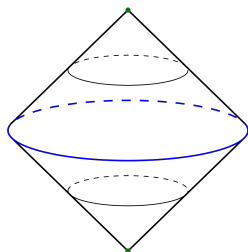
(Rational homotopy)

- open books
- free loop spaces

# Some notations

Let  $X = (X, *)$  be a based CW-complex.

- $\Omega X := \text{Map}_*(S^1, X)$ :  
(based) mapping space of base maps;
- $\Sigma X = S^1 \wedge X := \frac{[0,1] \times X}{(\{0,1\} \times X) \cup ([0,1] \times \{*\})}$ :  
suspension of  $X$



If  $M$  is a closed  $m$ -manifolds,

- $M_0$ : the manifold with a small disc  $D^m$  removed.
- Have the inclusion  $S^{m-1} = \partial M_0 \xrightarrow{i} M_0$  of the boundary.

# Stabilization of manifolds

- Kreck ('15): consider diffeomorphism classes of smooth manifolds modulo connected sum with a prescribed manifold  $T$ .
- Two typical choices of  $T$ :  $S^n \times S^n$ , or  $\mathbb{C}P^n$ .
- $T$ -stabilization:  $N \# T$ .
- A problem in geometric topology: classify smooth manifolds up to  $T$ -stabilizations.
  
- $T = S^n \times S^n$ : Kreck ('99);
- $T = \mathbb{C}P^2$ : Kasprowski, Powell and Teichner ('21), Kreck ('99)

# Homotopy of manifolds stabilized by projective spaces

- $N =$  connected closed  $2n$ -dimensional smooth manifold
- $F =$  homotopy fibre of  $S^{2n-1} \xrightarrow{i} N_0$

## Theorem (Huang-Theriault, '21)

- if  $n \geq 2$  is even,  $\Omega(N\#\mathbb{C}P^n) \simeq S^1 \times \Omega N_0 \times \Omega\Sigma^2 F$ ;
- if  $n \geq 2$  is odd,  $\Omega(N\#\mathbb{C}P^n) \simeq_{\{\frac{1}{2}\}} S^1 \times \Omega N_0 \times \Omega\Sigma^2 F$ ;
- if  $n \geq 4$  is even,  $\Omega(N\#\mathbb{H}P^{\frac{n}{2}}) \simeq_{\{\frac{1}{2}, \frac{1}{3}\}} S^3 \times \Omega N_0 \times \Omega\Sigma^4 F$ ;
- if  $n = 8$ ,  $\Omega(N\#\mathbb{O}P^2) \simeq_{\{\frac{1}{2}, \frac{1}{3}, \frac{1}{5}\}} S^7 \times \Omega N_0 \times \Omega\Sigma^8 F$ .

- A  $\mathbb{C}P^n$ -stabilization is a blow up at a point.
- (Duan) A canonical circle bundle over a  $\mathbb{C}P^n$ -stabilization is the effect of a 1-surgery.

# Example

For  $n \geq 2$ , there are homotopy equivalences

$$\Omega(\mathbb{C}P^{2n} \# \mathbb{C}P^{2n}) \simeq S^1 \times S^1 \times \Omega S^3 \times \Omega S^{4n-1},$$

$$\Omega(\mathbb{C}P^{2n} \# \mathbb{H}P^n) \simeq S^1 \times S^3 \times \Omega S^5 \times \Omega S^{4n-1},$$

$$\Omega(\mathbb{C}P^8 \# \mathbb{O}P^2) \simeq S^1 \times S^7 \times \Omega S^9 \times \Omega S^{15},$$

$$\Omega(\mathbb{C}P^{2n+1} \# \mathbb{C}P^{2n+1}) \simeq_{\{\frac{1}{2}\}} S^1 \times S^1 \times \Omega S^3 \times \Omega S^{4n+1},$$

$$\Omega(\mathbb{H}P^n \# \mathbb{H}P^n) \simeq_{\{\frac{1}{2}, \frac{1}{3}\}} S^3 \times S^3 \times \Omega S^7 \times \Omega S^{4n-1},$$

$$\Omega(\mathbb{H}P^4 \# \mathbb{O}P^2) \simeq_{\{\frac{1}{2}, \frac{1}{3}\}} S^3 \times S^7 \times \Omega S^{11} \times \Omega S^{15},$$

$$\Omega(\mathbb{O}P^2 \# \mathbb{O}P^2) \simeq_{\{\frac{1}{2}, \frac{1}{3}, \frac{1}{5}\}} S^7 \times S^7 \times \Omega S^{15} \times \Omega S^{15}.$$

- Duan ('22) has shown the results for  $\mathbb{C}P^{2n} \# \mathbb{C}P^{2n}$  and  $\mathbb{C}P^{2n} \# \mathbb{H}P^n$  by more geometrical arguments.
- He needs non-spin condition.



# General blow ups

- $N$  = connected closed oriented smooth manifold
- a codimension  $2n$  embedding  $B \hookrightarrow N$  with a complex normal bundle  $\nu$
- $V$  = closed neighborhood of  $B$  in  $N$ , and  $V \cong D\nu$
- $N_c$  = the closure of the complement of  $V$  in  $N$

$$\begin{array}{ccc} \partial V & \xrightarrow{\iota_\nu} & V \\ \downarrow \iota_c & & \downarrow \\ N_c & \longrightarrow & N, \end{array}$$

$$\begin{array}{ccc} \partial V & \xrightarrow{q} & P\nu \\ \downarrow \iota_c & & \downarrow j \\ N_c & \xrightarrow{r} & \tilde{N}, \end{array}$$

- $P\nu$  = the projective bundle of  $\nu$

## Definition (blow up)

$\tilde{N}$  is called the blow up of  $N$  along  $B$ .

# Homotopy of blow ups

- $B$  is  $(k - 1)$ -connected and of dimension  $m$
- $F =$  homotopy fibre of  $\partial V \xrightarrow{\iota_c} N_c$ .

## Theorem (Huang-Theriault; '22)

Suppose  $m \leq 2n - 4$ . Let  $p$  be a prime such that

- $p > \frac{1}{2}(m - k) + 1$ ,  $(p - 1) \nmid 2s$  for any  $k + 2 \leq 4s \leq m + 2$ , and
- $H_*(B; \mathbb{Z})$  is  $p$ -torsion free.

Then there is a  $p$ -local homotopy equivalence

$$\Omega \tilde{N} \simeq_p S^1 \times \Omega N_c \times \Omega \Sigma^2 F.$$

## Remark

- Let  $d_s$  is the denominator of  $B_s/4s$ , where  $B_s$  is the  $s$ -th Bernoulli number defined by

$$\frac{z}{e^z - 1} = 1 - \frac{1}{2}z - \sum_{s \geq 1} B_s \frac{z^{2s}}{(2s)!}.$$

- The arithmetical condition on  $p$ :

$$\begin{aligned} p &\notin \{\text{prime } p \mid (p-1) \text{ divides } 2s, k+2 \leq 4s \leq m+2\} \\ &= \{p \mid p \text{ divides } d_s, k+2 \leq 4s \leq m+2\}. \end{aligned}$$

- We are localizing away from the image of stable  $J$ -homomorphism (Adams ('66) and Quillen ('71)).
- We introduce a type of “fibrewise surgery”
- A blow up is a “fibrewise  $\mathbb{C}P^n$ -stabilization”
- A canonical circle bundle over a fibrewise  $\mathbb{C}P^n$ -stabilization is the effect of a fibrewise 1-surgery.

# Open books

Let  $M$  be a simply connected closed  $n$ -manifold.

- $V$  simply connected compact
- $\dim(V) = n - 1$ ,  $\partial V \neq \emptyset$
- $h : V \xrightarrow{\cong} V$
- $h|_{\partial V} = \text{id}$
- $V_h =$  the mapping torus of  $h$
  
- $M \cong_{\text{diff}} (\partial V \times D^2) \cup_{\text{id}} V_h$

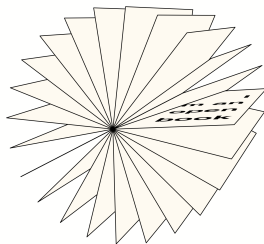


Figure: What is an ... open book  
Giroux, Notice AMS 52 (1), 2005

## Definition (open book)

$M$  is called an *open book*, with  $V =$  page,  $h =$  *monodromy*.

# Classical rational dichotomy

(Félix, '89)

Any simply-connected finite CW-complex  $X$  is either:

- *rationally elliptic*, that is,  $\pi_*(X) \otimes \mathbb{Q}$  is *finite dimensional*, or else
- *rationally hyperbolic*, that is,  $\pi_*(X) \otimes \mathbb{Q}$  *grows exponentially*.

# A special case

- $M =$  open book as before with the monodromy  $h : V \rightarrow V$
- $F =$  homotopy fibre of  $\partial V \xrightarrow{i} V$

## Theorem (Huang-Theriault; '21)

Suppose  $h \simeq \text{id}$  relative to  $\partial V$ . Then there is a homotopy equivalence

$$\Omega M \simeq \Omega V \times \Omega \Sigma^2 F.$$

- One can obtain an extended rational dichotomy from the above theorem.
- Indeed, we can prove it in more general context.

# Extended rational dichotomy of open books

- $M$  = open book as before with the monodromy  $h : V \rightarrow V$
- $F$  = homotopy fibre of  $\partial V \xrightarrow{i} V$

## Theorem (Huang-Theriault; '21; weak version)

Suppose the monodromy is of finite order and acts nilpotently on  $\pi_*(V)$ . Then either:

- (1)  $M$  is rationally elliptic, in which case
  - $V$  is also rationally elliptic
  - $F \simeq_{\mathbb{Q}} S^l$  for some  $l \in \mathbb{Z}^+$ , and
  - $\pi_*(M) \otimes \mathbb{Q} \cong (\pi_*(V) \otimes \mathbb{Q}) \oplus (\pi_*(S^{l+2}) \otimes \mathbb{Q})$ ;
- (2)  $M$  is rationally hyperbolic, in which case
  - either  $V$  is rationally hyperbolic, or
  - $F \not\simeq_{\mathbb{Q}} S^l$  for any  $l \in \mathbb{Z}^+$ .

# A few words on methodology: unstable homotopy theory

homotopy fibration :

$$\begin{array}{ccc} F & \xrightarrow{i} & E & \xrightarrow{p} & B \\ \downarrow f & & \downarrow & \swarrow r & \\ X & \longrightarrow & Y & & \end{array} \quad \begin{array}{l} \perp \\ \Omega p \circ r \simeq \text{id} \end{array} \quad : \text{homotopy pushout}$$

## Theorem (Huang-Theriault; '22)

Under reasonable conditions, there is a homotopy equivalence

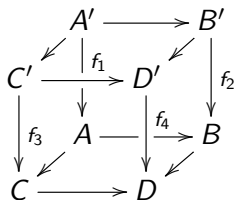
$$\Omega Y \simeq \Omega B \times \Omega X \times \Omega(H * \Omega B),$$

where  $H$  is the homotopy fibre of  $F \xrightarrow{f} X$ .

- It generalizes a classical theorem of (Ganea; '65)



# A cubic diagram technique



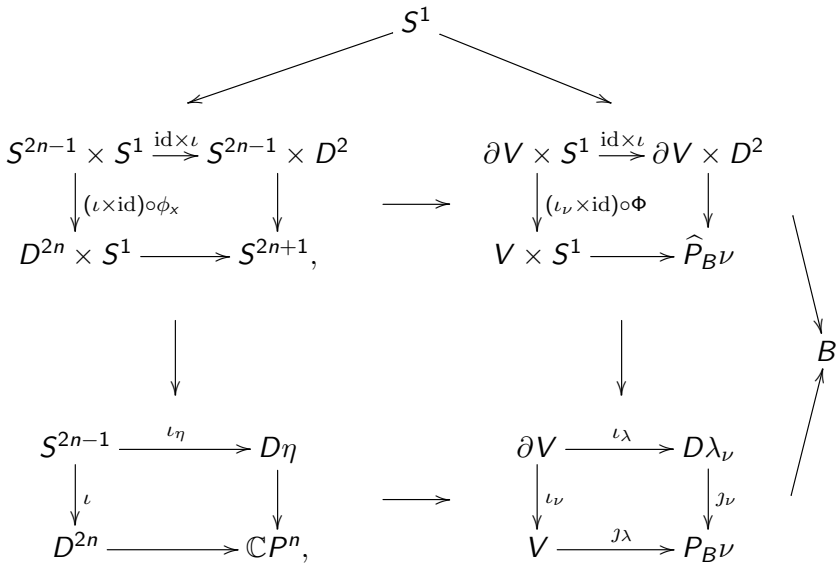
## Mather's Cube Lemma; '76

Suppose in the above homotopy commutative diagram

- the vertical faces are homotopy pullbacks, and
- the bottom face is a homotopy pushout.

Then the top face is a homotopy pushout.

**Thanks very much**



# The homotopy conditions are necessary

Consider the Milnor's open book decompositions of  $S^{2n+1}$  determined by the complex polynomial

$$f(z_1, \dots, z_{n+1}) = (z_1)^{a_1} + \dots + (z_n)^{a_n} + (z_{n+1})^{a_{n+1}}.$$

- If  $3 \leq a_1 \geq \dots \geq a_{n+1} \geq 2$ , then
  - $S^{2n+1}$  is elliptic,
  - the page  $V \simeq \bigvee_{\mu} S^n$  is hyperbolic,  $\mu = \prod_{j=1}^{n+1} (a_j - 1)$ .

It follows that either the monodromy is of infinite order, or it acts non-nilpotently on the homology groups  $H_*(V; \mathbb{Z})$ .

# The homotopy conditions are necessary

- If  $a_1 = \cdots = a_{n+1} = 2$ , we can construct an open book decomposition of a homotopy sphere  $\Sigma^{2n+1}$

$$\Sigma^{2n+1} \cong ((\partial V \# \partial V) \times D^2) \cup_{\text{id}} (V \# V)_{h\#h}.$$

satisfying that

- its monodromy  $h\#h$  is of order at most 8
- its page  $V\#V \simeq S^n \vee S^n$  is rationally hyperbolic, and
- $\Sigma^{2n+1} \simeq S^{2n+1}$  is rational elliptic

It follows that the monodromy  $h\#h$  acts non-nilpotently on the homology groups  $H_*(V\#V; \mathbb{Z})$ .