Nonexistence of symplectic structures on certain family of 4-manifolds

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YMSC Topology Seminar

I. Motivation and Backgrounds

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- One goal today: Use Seiberg-Witten theory to study (3).

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• So Question (2) is a refined version of the uniqueness problem of isotopies.

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• So it is **not** feasible to study $i_{r,*} : \pi_r(\text{Symp}(X, \omega)) \to \pi_r(\text{Diff}(X))$ by finding the homotopy type of $\text{Symp}(X, \omega)$ and Diff(X).

II. Non-symplectic loop of diffeomorphisms

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Theorem (Seidel (1997))

Let (X, ω) be a minimal and irrational symplectic 4-manifold with $b_1(X) = 0$ and $\dim(H_2(X; \mathbb{Z}/2)) \ge 3$. Suppose X contains an embedded Lagrangian 2-sphere S. Then square of the Dehn twist along S gives a nonzero element in the kernel $i_{0,*}$.

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 Seidel's proof uses deep results in quantum cohomology ring QH(X) and the Floer homomlogy HF(φ) for φ ∈ Symp(X,ω). It applies to large family of symplectic manifolds. (e.g. complete intersections in CPⁿ⁺² other than CP² or CP¹ × CP¹)

Non-symplecitic loop of diffeomorphisms
For many complex surfaces (X, ω) (including hypersurfaces in \mathbb{CP}^3 with degree $\neq 1$ or 4), the map $i_{1,*} : \pi_1(\text{Symp}(X, \omega)) \to \pi_1(\text{Diff}(X))$ is not surjective.

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- $\bullet\,$ The case of self-intersection -1 confirms a conjectured by McDuff.
- New ingredient: a new gluing formula for the family Seiberg-Witten invariant.

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- Essential difference: When we perturb $[\omega]$, α, β are fragile but γ is robust.

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- Essential difference: When we perturb $[\omega]$, α, β are fragile but γ is robust.



$$\begin{split} \Omega_{[\omega^+]}(X) \text{ with } & \int_S \omega^+ > 0 \\ \Omega_{[\omega]}(X) \text{ with } \omega|_S &= 0 \\ \Omega_{[\omega^-]}(X) \text{ with } & \int_S \omega^- < 0 \end{split}$$

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Corollary

Let (X, ω) be a symplectic 4-manifold that contain a smoothly embedded S^2 with self-intersection -2 or -1. Then $\pi_1(\mathcal{S}_{[\omega]}(X)) \neq 0$.

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Theorem (L. (2021))

Let (X, ω) be a non-minimal symplectic 4-manifold with $b_2^+(X) \neq 3$. Then $\pi_1(\mathcal{S}(X)) \neq 0$. ($\mathcal{S}(X)$ denotes the space of **all** symplectic forms.)

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- These loops are are contractible in the space of non-degenerate 2-forms.
- The condition $b_2^+(X) \neq 3$ is related to the wall-crossing phenomena for family Seiberg-Witten invariants.

III. Non-symplectic families of smooth 4-manifolds

• Given $\gamma: S^1 \to \operatorname{Diff}(X)$, we have a diffeomorphism

 $\widetilde{\gamma}: S^1 \times X \to S^1 \times X$ defined by $\widetilde{\gamma}(t, x) = (t, \gamma(t)x).$

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• We form the bundle $X \hookrightarrow E_{\gamma} \xrightarrow{p} B$ by setting

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- $[\gamma] \in \text{Image}(\pi_1(\text{Symp}(X, \omega)) \xrightarrow{i_{1,*}} \pi_1(\text{Diff}(X))) \iff$ There is a fiberwise symplectic structure $\{\omega_b\}_{b \in S^2} \subset S_{\omega}(X)$ on $\{X_b\}_{b \in S^2}$.

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- To show i_{1,*} is not surjective, it suffices to establish non-symplectic families over S².

• An ADE singularity (Du Val singularity) is an isolated surface singularity locally modelled on $\Sigma = \mathbb{C}^2/\Gamma$. Here Γ is a finite subgroup of SU(2) = Sp(1), acting as the left multiplication on $\mathbb{H} = \mathbb{C}^2$.

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• Kronheimer proved that $\tilde{\Sigma}$ is an ALE space (i.e. it admits a hyper-Kähler metric that approaches the Euclidian metric at infinity.)

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Theorem (L.)

Let $\{\omega_b\}$ be a family of minimal symplectic structure on an ADE family $X \hookrightarrow E \to S^2$. Then at least one of the following two situation happens:

- For any a ∈ H₂(Σ̃; Z) with a · a = -2, the function S² → R defined by b ↦ ⟨[ω_b], a⟩ takes both positive and negative values. Or,
- $b^+(X) = 3$, $c_1(K)$ is torsion, and $\{\omega_b\}$ is a winding family.

(Here K is the canonical bundle.) In particular, the ADE family never admits minimal fiberwise symplectic structures in a constant cohomology class.

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- ⟨c₁(K), D⟩ = ±1. D = CP¹ is the exceptional divisor. (Automatically satisfied unless the fiber is rational or ruled with b⁺₂ = 1.)
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• McDuff conjectured that a similar result holds in all dimensions.

IV. A gluing formula for family Seiberg-Witten invariants.

• Consider a smooth fiber bundle $X \hookrightarrow E \xrightarrow{p} B$.

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- We study the Seiberg-Witten equations on the fiber X_b

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$$F_{A_b^+}^+ = \rho^{-1} (\phi_b \phi_b^*)_0 + i \mu_b, \quad D_{A_b}^+ \phi_b = 0.$$

• Consider the parametrized moduli space

 $\mathcal{M} := \{(b, A_b, \phi_b) \mid (A_b, \phi_b) \text{ solves the S.W. eqs on } X_b\}/\text{gauge transformations.}$

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- For generic choice of ({g_b}, {μ_b}), *M* is a compact, smooth manifold of dimension d(s_E).
- We focus on the particular case d(s_E) = 0. Then we define the family Seiberg-Witten invariant

$$\mathsf{FSW}_{\xi}(E, \mathfrak{s}_{E}) := \#\mathcal{M} \in \mathbb{Z}.$$

Here $\xi \in [B, S^{b^+(X)-1}]$ is the "chamber" for our choice of $(\{g_b\}, \{\mu_b\})$.

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Theorem (Taubes)

- $SW(X, \mathfrak{s}_J) = 1.$
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 $\mathsf{FSW}_{\xi_\omega}(E,\mathfrak{s}_E) = 0 \text{ if for any } b \in B \text{ we have } [\omega_b] \cdot c_1(\mathfrak{s}_E|_{X_b}) < -[\omega_b] \cdot c_1(\mathcal{K})$

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 - We assume $\pi_1(B)$ acts trivially on the homology of the fibers.

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 - $X \hookrightarrow E \to B$ has a decomposition $E_1 \cup_{B \times Y} E_2$.
 - Here $X_1 \hookrightarrow E_1 \to B$ is general family of manifold X_1 with boundary Y.
 - E₂ is the product family B × X₂ of manifold X₂ with boundary −Y. We assume b⁺₂(X₂) > 1.
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• Given (family) Spin^c-structures \mathfrak{s}_{E_1} on E_1 and \mathfrak{s}_{X_2} on X_2 . We get cobordism-induced maps

$$\begin{split} &\widehat{HM}_{*}(\widetilde{W}_{1},\mathfrak{s}_{E_{1}}):\widehat{HM}_{*}(S^{3})\rightarrow\widehat{HM}_{*}(Y)\\ &\overrightarrow{HM}^{*}(W_{2},\mathfrak{s}_{X_{2}}):\widecheck{HM}^{*}(S^{3})\rightarrow\widehat{HM}^{*}(Y) \end{split}$$

Theorem (Kronheimer-Mrowka, L.)

One has $\sum_{\mathfrak{s}} \mathsf{FSW}(E,\mathfrak{s}) = \langle \widehat{HM}_*(\widetilde{W}_1,\mathfrak{s}_{E_1})(\widehat{1}), \overline{HM}^*(W_2,\mathfrak{s}_{X_2})(\widecheck{1}) \rangle$. Here $\widehat{1}$ and $\widecheck{1}$ are canonical generators of the monopole Floer (co)homology of S^3 . The sum is taken over all family Spin^c-structures \mathfrak{s} on E that satisfies $\mathfrak{s}|_{E_1} = \mathfrak{s}_{E_1}$, $\mathfrak{s}|_{E_2} = p^*(\mathfrak{s}_{X_2}), d(\mathfrak{s}_E) = 0$.

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Corollary

Assume Y is an L-space and $b_2^+(X_2) > 1$. Given a family Spin^c-stricture \mathfrak{s}_E on E and a Spin^c-structure \mathfrak{s}_X on X that satisfy the conditions $\mathfrak{s}_E|_{E_2} = p^*(\mathfrak{s}_X|_{X_2})$ and $d(\mathfrak{s}_E) = d(\mathfrak{s}_X) = 0$. Then we have

$$\mathsf{FSW}(E,\mathfrak{s}_E) = \langle c_{\frac{\dim(B)}{2}}(-\mathsf{Ind}(\not D(E,\mathfrak{s}_E))), [B] \rangle \cdot \mathsf{SW}(X,\mathfrak{s}_X)$$

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• We use this formula to compute FSW of ADE families and blow up families and use Taubes' result to show that they have no symplectic structures.

Thank you for listening.